

# Theoretical Analysis of Leaky SAW Propagation Characteristics on ScAlN film/Quartz

ScAlN 薄膜/水晶上を伝搬するリーキーSAW 伝搬特性の理論解析

Masashi Suzuki<sup>†</sup> and Shoji Kakio (Univ. of Yamanashi)

鈴木雅視<sup>†</sup>, 垣尾省司 (山梨大)

## 1. Introduction

High performance SAW devices with high phase velocity, high coupling, high Q factor, and high temperature stability are required for applications to frequency filters and duplexers in next generation mobile communications. However, it is difficult for general SAW devices consisting of IDT/piezoelectric single crystal substrate to unite high phase velocity, high coupling, high Q factor, and high temperature stability. In contrast, we experimentally and theoretically demonstrated that leaky SAW (LSAW) and longitudinal leaky SAW (LLSAW) on LiNbO<sub>3</sub> or LiTaO<sub>3</sub> thin plate bonded to high velocity sapphire or quartz substrate possess high phase velocity, high coupling, low attenuation, and high temperature stability [1,2]. Similarly, I.H.P SAW resonator (piezoelectric thin plate / acoustic mirror layer / substrate) reported by Murata Manuf. [3] and HAL SAW device (piezoelectric thin plate / quartz) reported by Kadota *et al* [4] have these SAW characteristics.

The enhancement of piezoelectricity in Sc doping AlN film was found by Akiyama *et al* [5]. After that, Hashimoto *et al.* reported that Rayleigh SAW devices consisting of ScAlN film / high velocity SiC or diamond substrate have high phase velocity and high coupling [6]. In addition, we theoretically demonstrated high coupling and low attenuation of LLSAW on ScAlN film/LiNbO<sub>3</sub> substrate and ScAlN film/quartz substrate [7, 8].

In this study, LSAW propagation characteristics on ScAlN film/ rotating Y-cut quartz were investigated theoretically.

## 2. LSAW characteristics on ScAlN/Quartz

Phase velocity and attenuation of LSAW on air / Sc<sub>0.4</sub>Al<sub>0.6</sub>N film / rotating Y-cut (0°  $\theta$  0°) quartz were calculated by Farnell and Adler SAW propagation analysis method. The coupling factor  $K^2$  was determined from  $K^2 = 2*(v_f - v_m)/v_f$  ( $v_f$ : phase velocity in an electrically free surface,  $v_m$ : phase velocity in a metallized surface).

**Figure 1** shows  $K^2$  of LSAW on (0°  $\theta$  50-90°) Sc<sub>0.4</sub>Al<sub>0.6</sub>N monolayer. The  $K^2$  reaches a maximum of approximately 6.3% at (0° 90° 60°). The Euler angle of ScAlN films in layered structure analysis

models is set to be (0° 90° 60°). On the other hand, the attenuation of LSAW on (0° 90° 60°) Sc<sub>0.4</sub>Al<sub>0.6</sub>N monolayer is large (0.13 dB/ $\lambda$ ).

LSAW attenuation and  $K^2$  on (0° 90° 60°) ScAlN film (normalized ScAlN film thickness  $h/\lambda=0.1, 0.2, 0.4$ )/((0°  $\theta$  0°) quartz are analyzed as a function of  $\theta$ . As shown in **Fig. 2(a)**, the local minimum attenuation appeared at  $\theta = 129.5^\circ$ . As shown in **Fig. 2(b)**,  $K^2$  were more than 4.5% at  $\theta = 129.5^\circ$ . These results show that the optimum Euler angle of quartz is (0° 129.5° 0°) for LSAW on ScAlN / quartz substrate.

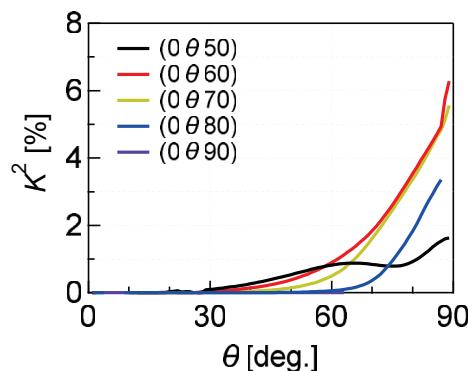


Fig. 1  $K^2$  of LSAW on (0°  $\theta$  50-90°) Sc<sub>0.4</sub>Al<sub>0.6</sub>N monolayer.

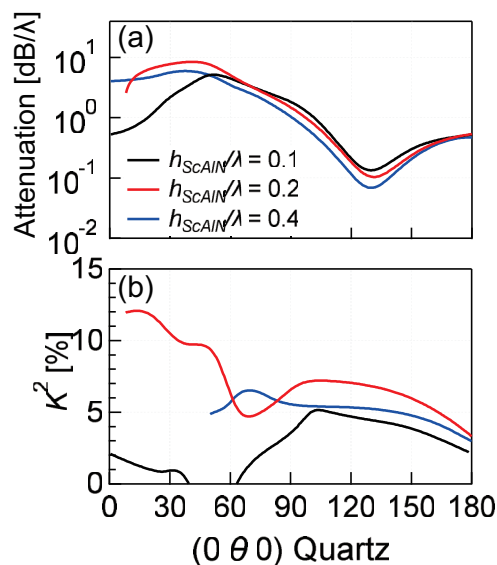


Fig. 2 (a) Attenuation and (b)  $K^2$  of LSAW on (0° 90° 60°) Sc<sub>0.4</sub>Al<sub>0.6</sub>N layer on (0  $\theta$  0) Quartz.

Next, LSAW phase velocity, attenuation, and  $K^2$  on  $(0^\circ 90^\circ 60-72^\circ)$  ScAlN film/ $(0^\circ 129.5^\circ 0^\circ)$  quartz are calculated as a function of  $h/\lambda$ . As shown in **Fig. 3(a)**, LSAW phase velocities decreased from the phase velocity of the  $(0^\circ 129.5^\circ 0^\circ)$  quartz with increasing  $h/\lambda$ . As shown in **Fig. 3(b)**, in  $(0^\circ 90^\circ 66-72^\circ)$  ScAlN film/ $(0^\circ 129.5^\circ 0^\circ)$  quartz, the local minimum attenuation appeared at  $h/\lambda=0.2-0.4$ . These local minimum attenuations are an order of magnitude lower than that in ScAlN monolayer. As shown in **Fig. 3 (c)**,  $K^2$  reaches a maximum around  $h/\lambda=0.2$ . These  $K^2$  maximum are higher than that of ScAlN monolayers. These results indicated the increase of  $K^2$  and the reduction of attenuation in LSAW on  $(0^\circ 90^\circ 66-72^\circ)$  ScAlN/  $(0^\circ 129.5^\circ 0^\circ)$  quartz.

The characteristics of infinite periodic structure LSAW resonators with IDT/ $(0^\circ 90^\circ 66-72^\circ)$  Sc<sub>0.4</sub>Al<sub>0.6</sub>N/  $(0^\circ 129.5^\circ 0^\circ)$  quartz were analyzed by FEM system (Femtet, Murata software). The period  $\lambda$  of a periodic IDT was set to be  $8.0 \mu\text{m}$ . The film thickness of Al for IDTs was  $1000 \text{ \AA}$ . The ScAlN film thickness  $h/\lambda$  were adjusted to be 0.33, 0.28, and 0.23 in  $(0^\circ 90^\circ 66^\circ)$ ,  $(0^\circ 90^\circ 66^\circ)$ , and  $(0^\circ 90^\circ 66^\circ)$  ScAlN film, respectively. **Figure 4** shows the frequency characteristics of admittances of LSAW resonators with IDT/ $(0^\circ 90^\circ 66-72^\circ)$  Sc<sub>0.4</sub>Al<sub>0.6</sub>N/  $(0^\circ 129.5^\circ 0^\circ)$  quartz. We observed LSAW resonances around 560-600 MHz without spurious. **Table I** shows resonance properties of these LSAW resonator. Because of highest  $Q_r$  and  $Q_m$  factor in IDT /  $(0^\circ 90^\circ 69^\circ)$  Sc<sub>0.4</sub>Al<sub>0.6</sub>N/  $(0^\circ 129.5^\circ 0^\circ)$  quartz, this layered structure is optimum for LSAW propagation

### 3. Conclusion

LSAW characteristics on Sc<sub>0.4</sub>Al<sub>0.6</sub>N film/ rotating Y-cut quartz were investigated theoretically. We demonstrated that LSAW on  $(0^\circ 90^\circ 66-72^\circ)$  Sc<sub>0.4</sub>Al<sub>0.6</sub>N film/ $(0^\circ 129.5^\circ 0^\circ)$  quartz have higher  $K^2$  and lower attenuation than ScAlN monolayer..

### References

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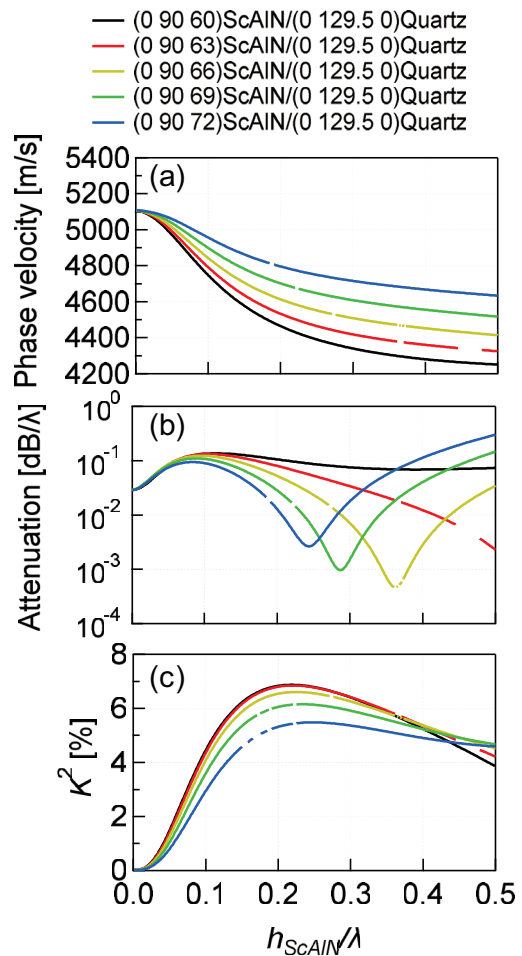


Fig. 3 (a) Phase velocity, (b) attenuation, and (c)  $K^2$  of LSAW on  $(0^\circ 90^\circ 60-72^\circ)$  Sc<sub>0.4</sub>Al<sub>0.6</sub>N layer on  $(0^\circ 129.5^\circ 0^\circ)$  Quartz.

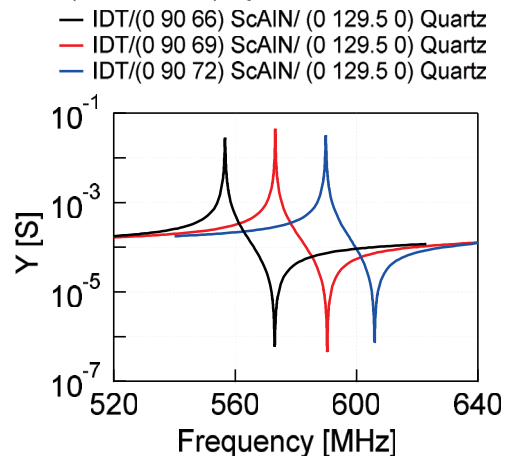


Fig.4 Admittance frequency characteristics of LSAW on ScAlN/Quartz simulated by FEM.

Table I Fraction bandwidth,  $Q_r$  and  $Q_a$  factor in LSAW resonators with ScAlN/quartz.

| Euler angle of Sc <sub>0.4</sub> Al <sub>0.6</sub> N film | Fractional bandwidth [%] | Admittance ratio [dB] | $Q_r$ | $Q_a$ |
|---|--------------------------|-----------------------|-------|-------|
| $(0^\circ 90^\circ 66^\circ)$                             | 2.9                      | 93                    | 3,570 | 4,060 |
| $(0^\circ 90^\circ 69^\circ)$                             | 2.9                      | 100                   | 5,210 | 5,620 |
| $(0^\circ 90^\circ 72^\circ)$                             | 2.7                      | 93                    | 3,910 | 4,013 |